Applicant or Pa	atentee: Jose	eph R. Berger		Attorney's
Serial or Pater		244,988	 	Docket No.: 44657-A-PCT-US
Filed or Issue		'1 Filing Date: 20 Oct	oher 1993	
				LE WEAKNESS/WASTING IN A
TICLE OF THE	cion of Facent	PATIENT INCOMES	TURATING MUSU	LE WEAKNESS/WASTING IN A
		PATIENT INFECTED	WITH HUMAN IM	MUNODEFICIENCY VIRUS-TYPE
•		•		•
		D STATEMENT (DECLARA)	•	·
•		TITY STATUS UNDER 37		E)
	AND §	1.27(c) - SMALL BUSIN	iess concern	
I hereby declar	re that I am:		•	
the c	wner of the s	mall business concer	n identified	below.
	ficial of the ern identified		n empowered to	o act on behalf of the
Name of Concern	BTG Pharma	ceuticals Corp.		
Address of Conc				<u> </u>
	Iselin,	New Jersey 08830		·
number of employ fiscal year, of part-time, or concerns are af	yees of the bus f the persons temporary bas filiates of e s or has powe	siness concern is the second of the business during each pay each other when, eiter to control the other	average numbers concerning of the her directly	ne fiscal year, and or indirectly, one
		under contract or law cern identified abov		
A METHOD FOR AM	ELIORATING MU	SCLE WEAKNESS/WASTING	G IN A PATIEN	T INFECTED WITH HUMAN
described in:	IMMUNOI	DEFICIENCY VIRUS-TYPI	E 1	
X applicati	fication file on serial no.	d herewith 08/244,988 filed In issued	t'l Filing Da	ate: 20 October 1993
each individual, below and no r inventor, who cou any concern whi	concern or or or ights to the all not qualify ich could not	e identified small bu rganization having r invention are held y as an independent ir t qualify as a sm ofit organization und	ights to the by any pers nventor under all business	invention is listed on, other than the 37 C.F.R. \$1.9(c)*, concern under 37
Individ	dual Smal	11 Business Concern	Nonprofi	t Organization

^aNOTE: Separate verified statements are required for each named person, concern, or organization having rights to the invention averring to their status as small entities. 37 C.F.R. §1.27.

- (c) An independent inventor as used in this chapter means any invent r who (1) has not assigned, granted, conveyed, or licensed, and (2) is under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who could not likewise be classified as an independent inventor if that person had made the invention, or to any concern which would not qualify as a small business concern or a nonprofit organization under this section.
- (d) A small business concern as used in this chapter means any business concern as defined by the Small Business Administration in 13 C.F.R. §121.3-18, published n September 30, 1982 at 47 FR 43273. For the convenience of the users of these regulations, that definition states:
- §121.3-18 Definition of small business for paying reduced patent fees under Title 35, U.S. Code.
- (a) Pursuant to Pub. L. 97-247, a small business concern for purposes of paying reduced fees under 35 U.S. Code 41(a) and (b) to the Patent and Trademark Office means any business concern (1) whose number of employees, including those of its affiliates, does not exceed 500 persons and (2) which has not assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor if that person had made the invention, or to any concern which would not qualify as a small business concern or a nonprofit organization under this section. For the purpose of this section concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. The number of employees of the business concern is the averag over the fiscal year of the the persons employed during each of the pay periods of the fiscal year. Employees are those persons employed on a full-time, part-time or temporary basis during the previous fiscal year of the concern.
- (b) If the Patent and Trademark Office determines that a concern is not eligible as a small business concern within this section, the concern shall have a right to appeal that determination to the Small Business Administration. The Patent and Trademark Office shall transmit its written decision and the pertinent size determination file to the SBA in the event of such adverse determination and size appeal. Such appeals by concerns should be submitted to the SBA at 1441 L Street, NW., Washington, D.C. 20416 (Attention: SBA Office of General Counsel). The appeal should state the basis upon which it is claimed that the Patent and Trademark Office initial size determination on the concern was in error; and the facts and arguments supporting the concern's claimed status as a small business concern under this section.
- (e) A nonprofit organization as used in this chapter means (1) a university or other institution of higher education located in any country; (2) an organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1954 (26 U.S.C. 501(c)(3)) and exempt from taxation under section 501(a) of the Internal Revenue Code (26 U.S.C. 501(a)); (3) any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of this country (35 U.S.C. 201(i)); or (4) any nonprofit organization located in a foreign country which would qualify as a nonprofit organization under paragraphs (e)(2) or (3) of this section if it were located in this country.

Small Entity/Small Business Concern Page -2-

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status pri r t paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. 37 C.F.R. §1.28(b)*.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. \$1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing:	Sim Fass, Ph.D.	
Title In Organization:	President	
Address:	70 Wood Avenue South	
	Iselin, New Jersey 08830	
	7 11/	
Date Of Signature:	4/10/93	
	11 11	



Beclaration and Power of Attorney

As a below-named inventor. I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below: or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A METHOD FOR AMELIORATING MUSCLE WEAKNESS/WASTING IN A PATIENT INFECTED WITH HUMAN IMMUNODEFICIENCY VIRUS-TYPE 1

(check one)	of which		
	is assached hereso.		
	x was filed on October	20, 1993	a
	Application Serial No. PCT/	US93/10063 U.S. Se	rial No. 08/244,988
	and was amended on	(if applicable)	
	that I have reviewed and und cluding the claims, as amended by		
	he auty to disclose information this application in accordance		
	foreign priority benefits under Ti ion(s) for patent or inventor's ce	russicate listed below ar	nd have also identified
elow any forei	gn application for patent or inve- cation on which priority is claime		g a Jiling dale hejoro
elow any forei	cation on which priority is claime		Priority Claimed Yes No

37 C.F.R. \$1.28(b)

(b) Once status as a small entity has been established in an application or patent, fees as a small entity may thereafter be paid in that application or patent without regard to a change in status until the issue fee is due or any maintenance fee is due. Notification of any change in status resulting in loss of entitlement to small entity status must be filed in the application or patent prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate pursuant to \$1.9 of this part. The notification of change in status may be signed by the applicant, any person authorized to sign on behalf of the assignee, or an attorney or agent of record or acting in a representative capacity pursuant to \$1.34(a) of this part.

N

I hereby claim the benefit under Title 35. United States Code. Section 120 of any United States Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35. United States Code. Section 112. I acknowledge the unity to disclose material information as defined in Title 37. Code of Federal Regulations. Sections 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status
PCT/US93/10063	October 20, 1993	·
And I hereby appoint		

John P. White (Reg. No. 28,678); Norman H. Zivin (Reg. No. 25,385); Thomas F. Moran (Reg. No. 16,579); Ivan S. Kavrukov (Reg. No. 25,161); Christopher C. Dunham (Reg. No. 30,141); Peter J. Phillips (Reg. No. 29,691); Richard S. Milner (Reg. No. 33,970); Matthew J. Golden (Reg. No. 35,161); Albert Wai-Kit Chan (Reg. No. 36,479); Matthew B. Tropper (Reg. No. 37,457); Levis J. Kreisler (Reg. No. 38,522); Robert T. Maldonado (Reg. No. 38,232).

and each of them. all c/o Cooper & Dunham LLP, 1185 Avenue of the Americas, New York, New York 10036, my attorneys, each with full power of substitution and revocation. to prosecute this application, to make alterations and amendments therein, to receive the patent. to transact all business in the Patent and Trademark Office connected therewith and to file any International Applications which are based thereon under the provisions of the Patent Cooperation Treaty.

John P. Whit	:•	Reg. No	28,678	
Cooper & Dur	_			
	of the Americas w York 10036			
Tel. (212) 2				
l hereby declare that statements made on t	all-statements made herein information and belief are with the knowledge that w	believed to be true: and	d further that these	
are punishable by fine States Code and the	e or imprisonment, or both, i at such willful false state	inder Section 1001 of Ti	ile 18 of the United	
are punishable by fine	e or imprisonment, or both, i at such willful false state	inder Section 1001 of Ti ments may jeopardise	tle 18 of the United the validity of the	or)
are punishable by fini States Code and the application or any pat Full name of sole or	e or imprisonment, or both, is such willful false states ent issued thereon.	inder Section 1001 of Ti ments may jeopardise	tle 18 of the United the validity of the	or)

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